1979 BILL 31

First Session, 19th Legislature, 28 Elizabeth II

THE LEGISLATIVE ASSEMBLY OF ALBERTA

# **BILL 31**

THE ARCHITECTS ACT, 1979

THE MINISTER OF HOUSING AND PUBLIC WORKS

First Reading
Second Reading
Committee of the Whole
Third Reading
Royal Assent

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## THE ARCHITECTS ACT, 1979

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**B**ill 31

## **BILL 31**

#### 1979

#### THE ARCHITECTS ACT, 1979

(Assented to , 1979)

HER MAJESTY, by and with the advice and consent of the Legislative Assembly of Alberta, enacts as follows:

1 In this Act,

(a) "architects corporation" means a corporation that holds a permit under section 16 to engage in the practice of architecture;

(b) "Association" means the Alberta Association of Architects;

(c) "building" means a building as defined in *The Alberta* Uniform Building Standards Act;

(d) "Council" means the Council of the Association;

(e) "Court" means the Court of Queen's Bench;

(f) "Discipline Committee" means the Discipline Committee appointed under section 27;

(g) "Minister" means the Minister of Housing and Public Works;

(h) "practice of architecture" means

(i) planning, designing or giving advice on the design of or on the erection, construction or alteration of or addition to a building,

(ii) preparing plans, drawings, detail drawings, specifications or graphic representations for the design of or for the erection, construction or alteration of or addition to a building, or

(iii) inspecting work and assessing the performance of work under a contract for the erection, construction or alteration of or addition to a building;

**Explanatory Notes** 

Definitions.

(i) "registered architect" means an individual who holds a certificate of registration under section 16 and an annual certificate under section 18 to engage in the practice of architecture;

(j) "Registrar" means the Registrar appointed under section 7;

(k) "visiting project architect" means an individual who holds a licence under section 16 to engage in the practice of architecture in respect of a project specified in the licence.

#### PART 1

#### SCOPE OF PRACTICE

2(1) Subject to subsections (3), (4) and (5), no person except a registered architect, visiting project architect or architects corporation shall engage in the practice of architecture.

(2) No person except a registered architect, visiting project architect or architects corporation shall

(a) use any one or more of the names architect, registered architect, visiting project architect or architects corporation, or any title, description, abbreviation, letter or symbol representing those names, alone or in combination with any other name, title, description, abbreviation, letter or symbol, that represents expressly or by implication that he is a registered architect, visiting project architect or it is an architects corporation,

(b) represent or hold out, expressly or by implication, that

(i) he or it is entitled to engage in the practice of architecture, or

(ii) he is a registered architect, visiting project architect or it is an architects corporation,

or

(c) affix the seal or stamp of a registered architect, or the stamp of a visiting project architect or architects corporation to, or permit that seal or stamp to be affixed to, a plan, drawing, detail drawing, specification or other document or a reproduction of any of them unless

(i) that plan, drawing, detail drawing, specification, other document or reproduction was prepared by or under the direct personal supervision, direction and control of, and

(ii) the seal or stamp is affixed with the knowledge, consent or in accordance with the direction of

the registered architect or visiting project architect to whom, or the architects corporation to which, the seal or stamp was issued by the Registrar.

(3) Subsection (1) does not apply to a person engaged in the practice of architecture in the course of his being employed or engaged by a registered architect, visiting project architect or architects corporation.

Exclusive scope of practice and use of name.

(4) A person who is not a registered architect, visiting project architect or that is not an architects corporation does not engage in the practice of architecture for the purposes of this Act if he or it engages in

(a) planning, designing or giving advice on the design of or on the erection, construction or alteration of or addition to,

(b) preparing plans, drawings, detail drawings, specifications or graphic representations for the design of or for the erection, construction or alteration of or addition to, or

(c) inspecting work or assessing the performance of work under a contract for the erection, construction or alteration of or addition to

a building that is a building in a category or type of building described in *The Alberta Uniform Buildings Standards Act* as set out in subsection (5).

(5) The categories or types of buildings referred to in subsection (4) are the following;

(a) a building, 3 storeys or less in height, for assembly occupancy that,

(i) in the case of a single storey building, has a gross area of 300 square metres or less,

(ii) in the case of a 2 storey building, has a gross area of 150 square metres or less on each floor, or

(iii) in the case of a 3 storey building, has a gross area of 100 square metres or less on each floor;

(b) a building for institutional occupancy that contains 12 rooms or less for patients;

(c) a building for residential occupancy that

(i) is a single family dwelling, or

(ii) is a multiple family dwelling, containing 4 dwelling units or less;

(d) a building that will be used as a hotel or motel or for similar occupancy containing 10 dwelling units or less;

(e) a building, 3 storeys or less in height, for business and personal services occupancy, for mercantile occupancy or for industrial occupancy that

(i) in the case of a single storey building has a gross area of 500 square metres or less,

(ii) in the case of a 2 storey building has a gross area of 250 square metres or less on each floor, or

(iii) in the case of a 3 storey building has a gross area of 165 square metres or less on each floor;

(f) a building that is a farm building not for public use.

**3** The Court, on application by the Council by way of originating notice, may grant an injunction enjoining any person from doing any act that is in contravention of section 2 notwithstanding any penalty that may be provided by this Act or the regulations in respect of that contravention.

**4**(1) An individual registered as a professional engineer under *The Engineering and Related Professions Act* may engage in the practice of architecture with respect to

(a) those buildings described in section 4.2 of *The Alberta Uniform Building Standards Act* for which plans, drawings or specifications are not required to be imprinted with a stamp or seal affixed by or on behalf of a professional engineer or a registered architect, visiting project architect or architects corporation, and

(b) those buildings described in section 4.3 of *The Alberta Uniform Building Standards Act* for which plans, drawings or specifications are required to be imprinted with a stamp or seal affixed by or on behalf of a professional engineer or a registered architect, visiting project architect or architects corporation,

but not otherwise.

(2) A professional engineer registered under *The Engineering* and *Related Professions Act* shall not style himself as, or represent expressly or by implication that he is, an architect, registered architect or visiting project architect or architects corporation unless he is a registered architect or visiting project architect or architects corporation.

 ${\bf 3}$  Injunction to ensure exclusive scope of practice, use of name and seal.

Application of Act to professional engineers.

#### PART 2

#### ASSOCIATION

**5**(1) The Alberta Association of Architects is continued as a body corporate.

(2) In addition to the powers vested in it by this Act, the Association has the power expressed in section 14 of *The Inter-pretation Act* and the power to

(a) acquire and hold real property and sell, lease or otherwise dispose of it, and

(b) borrow money for the purposes of the Association and to mortgage or charge real or personal property of the Association or its sources of funds as security.

6(1) There shall be a governing body of the Association called the Council.

(2) The Council shall manage and conduct the business and affairs of the Association and exercise the powers of the Association in the name and on behalf of the Association.

(3) The Council shall annually submit to the Minister an annual report on those matters of the business and affairs of the Association that the Minister may require.

(4) The Minister shall, upon receipt of the annual report of the Association, lay it before the Legislative Assembly if it is then sitting, and if it is not then sitting, within 15 days after the commencement of the next ensuing sitting.

**7** The Council may by resolution appoint an individual as Registrar for the purposes of this Act.

 $\mathbf{8}(1)$  The Council shall consist of at least 9 registered architects or a greater number that may be prescribed by the by-laws, each of whom shall be elected by and from among registered architects at the time, in the manner and for the period provided by the by-laws.

(2) The Council upon being elected shall elect from among its members the officers of the Association specified in the by-laws in the manner and for the term prescribed in the by-laws.

**5** Alberta Association of Architects.

6 Council.

7 Registrar.

8 Council membership and election of officers.

#### PART 3

#### **REGULATIONS AND BY-LAWS**

#### **9**(1) The Council may make regulations

(a) respecting academic qualifications of and training requirements for applicants for registration to engage in the practice of architecture as registered architects;

(b) providing for the evaluation of the academic qualifications of and training requirements for applicants for registration to engage in the practice of architecture as visiting project architects and the examination of those applicants with respect to those qualifications;

(c) respecting the eligibility of applicants for registration to engage in the practice of architecture as

- (i) visiting project architects, or
- (ii) architects corporations;

(d) respecting the establishment of a competence review committee to review the competence of registered architects and visiting project architects consisting of

(i) registered architects,

(ii) persons who have a combination of knowledge and experience suitable for determining academic qualifications and training requirements necessary for a person to continue to engage in the practice of architecture, and

(iii) other persons appointed by the Lieutenant Governor in Council,

and respecting the powers, duties and functions of a committee so established and appeals from decisions of that committee.

(2) A regulation under subsection (1) does not come into force unless it is approved by

(a) a majority of registered architects present at a general meeting, and

(b) the Lieutenant Governor in Council.

(3) Notwithstanding subsections (1) and (2), if the Minister has requested the Council to make a regulation pursuant to subsection (1) or to amend or repeal a regulation made under subsections (1) and (2) and the Council neglects or refuses to

Council regulations subject to Lieutenant Governor's power to make, amend or repeal regulations.

make the regulation so requested or to amend or repeal a regulation as requested by the Minister within 60 days of the date of the request, the Lieutenant Governor in Council may make the regulation so requested, or may amend or repeal the regulation as requested.

**10**(1) The Council may make regulations

(a) prescribing technical standards for the practice of architecture;

(b) establishing and providing for the publishing of a code of ethics respecting the practice of architecture, the maintenance of the dignity and honour of the profession of architecture and the protection of the public interest;

(c) respecting the fixing of fees, dues and levies payable to the Association by visiting project architects;

(d) respecting preliminary investigations into the conduct of a registered architect, visiting project architect or architects corporation, whether or not a complaint is made;

(e) respecting proceedings of the Discipline Committee and the Council in matters relating to discipline;

(f) respecting licensing, disciplinary matters and the practice of architecture generally;

(g) respecting committees of inquiry for reinstatements under Part 5.

(2) A regulation under subsection (1) does not come into force unless it has been approved by

(a) a majority of registered architects

(i) present at a general meeting, or

(ii) voting at a mail vote conducted in accordance with the by-laws,

and

- (b) the Lieutenant Governor in Council.
- **11**(1) The Council may make by-laws

(a) for the government of the Association and the management and conduct of its affairs;

(b) determining the location of the head office of the Association;

Regulations subject to approval of Lieutenant Governor in Council.

11 By-laws.

(c) respecting the calling of and conduct of meetings of the Association and the Council;

(d) respecting the nomination, election, number and term of office of Council members and officers of the Association and the appointment of ex officio members to the Council;

(e) providing for the division of the province into electoral districts and prescribing the number of Council members to be elected from each district;

(f) establishing classes or categories of membership in the Association in addition to registered architects and prescribing the privileges and obligations of the classes or categories of membership so established;

(g) providing for the appointment of acting members of the Council and procedures for the election or appointment of registered architects to fill vacancies on the Council;

(h) prescribing how many members of the Council constitute a quorum of the Council and the number of registered architects who constitute a quorum at meetings of the Association;

(i) governing the establishment of committees and the delegation of powers of the Council to committees of registered architects;

(j) prescribing fees and expenses to members of the Council and of committees for attending to the business of the Association;

(k) respecting the establishment and payment of sums of money for scholarships, fellowships and any other educational incentive or benefit program that the Council considers appropriate;

(1) governing the information to be engraved on and the use of

(i) seals and stamps by registered architects, and

(ii) stamps by visiting project architects and architects corporations;

(m) respecting the fixing of fees, dues and levies payable to the Association by registered architects and architects corporations;

(n) respecting the establishment, content and maintenance of registers of registered architects, visiting project architects and architects corporations and of records of other classes or categories of membership to be recorded by the Registrar; (o) respecting the removal from the registers and records of any memorandum or entry made in them under this Act or the by-laws indicating

(i) the suspension or cancellation of the registration of a registered architect, visiting project architect or architects corporation, or

(ii) the death of a registered architect or visiting project architect

including the name of the individual or corporation concerned;

(p) governing the publication of a notice of the suspension or cancellation of the registration of a registered architect, visiting project architect or architects corporation in a form and manner and through the media of disseminating news, prescribed by the Council;

(q) requiring registered architects, visiting project architects and architects corporations to maintain a business address in Alberta and to inform the Registrar in writing of that address and of any change in that address forthwith after the change occurs;

(r) prescribing the form of a certificate of registration, a licence, a permit and an annual certificate.

(2) The Council may make by-laws respecting the holding of mail votes on any matter relating to the Association, but a by-law under this subsection does not come into force unless it is approved by a majority of registered architects present at a general meeting.

(3) A by-law under subsection (1) does not come into force unless it is approved by a majority of registered architects

(a) present at a general meeting, or

(b) voting at a mail vote conducted in accordance with the by-laws.

#### PART 4

#### **REGISTRATION OF MEMBERS**

**12**(1) The Registrar shall maintain, in accordance with the by-laws and subject to the direction of the Council, a register for each of the following:

- (a) registered architects;
- (b) visiting project architects;
- (c) architects corporations.

(2) The Registrar shall enter in the appropriate register the name of a person

(a) whose registration to engage in the practice of architecture as a registered architect, visiting project architect or architects corporation has been approved by the Council, and

(b) who has paid the fee prescribed in the by-laws.

(3) The Registrar shall maintain, in accordance with the bylaws and subject to the direction of the Council, a membership record of the members in each class or category of membership established under the by-laws.

(4) The Registrar shall enter in the appropriate membership record for the applicable class or category of membership the name of a person whose membership in that class or category has been approved by the Council in accordance with the by-laws.

**13**(1) The Council shall approve for registration as a registered architect an individual who has applied to the Council and is eligible in accordance with the regulations to become a registered architect.

(2) An individual becomes entitled to be registered as a registered architect when the Council approves his registration.

**14**(1) The Council may approve the registration of an individual who has applied to the Council and is eligible in accordance with the regulations to become registered to engage in the practice of architecture as a visiting project architect for the purpose of a project approved by the Council.

(2) An individual becomes entitled to be registered as a visiting project architect when the Council approves his registration. Registers and membership records.

Registration of individual as registered architect.

Registration of individual as visiting project architect.

**15**(1) The Council may approve the registration of a corporation, incorporated or registered under *The Companies Act*, that has applied to the Council and is eligible under this section and the regulations to become registered to engage in the practice of architecture as an architects corporation.

(2) A corporation that applies to the Council is eligible to become registered as an architects corporation if it satisfies the Council that

(a) it has one or more full time permanent employees or shareholders who are registered architects and who will assume direct personal supervision, direction and control of the practice of architecture in which the corporation proposes to engage,

(b) the beneficial ownership of the majority of its voting shares are vested in one or more registered architects and a majority of its directors and officers are registered architects,

(c) a person who is the beneficial owner of any of the issued shares of the corporation, or is a director or officer of the corporation but is not a registered architect,

(i) is a member of a profession or discipline that the Council by resolution has approved as being allied to the profession of architecture for the purposes of this section, and

(ii) is the corporation's full time permanent employee and is ordinarily resident in Alberta,

and

(d) it complies with the regulations.

(3) A corporation becomes entitled to be registered as an architects corporation when the Council approves its registration.

**16**(1) Upon entering the name of a registered architect in the register, the Registrar shall issue to him

(a) a certificate of registration, and

(b) a seal engraved as prescribed in the by-laws.

(2) Upon entering the name of a visiting project architect in the register, the Registrar shall issue to him

(a) a licence to engage in the practice of architecture as a visiting project architect for the purposes of the project approved by the Council and described in the licence, and

Registration of corporation as architects corporation.

Evidence of registration for the practice of architecture.

(b) a stamp engraved as prescribed in the by-laws.

(3) Upon entering the name of an architects corporation in the register, the Registrar shall issue to that corporation

(a) a permit to engage in the practice of architecture as an architects corporation, and

(b) a stamp engraved as prescribed in the by-laws.

(4) Upon recording the name of a person in a class or category of membership other than registered architects in the record for the appropriate class or category, the Registrar shall issue to him a certificate of membership in accordance with the by-laws.

(5) A certificate of registration issued under subsection (1), a licence issued under subsection (2), a permit issued under subsection (3) and a certificate of membership issued under subsection (4) are subject to this Act, the regulations and by-laws.

17(1) The term of a licence issued under section 16(2) is one year from the date of issue of the licence and the licence may, subject to the approval of the Council, be renewed for additional periods, each not exceeding one year, upon payment of the fees prescribed in the regulations.

(2) The term of a permit issued under section 16(3) is one year from the date of issue of the permit and a permit may, subject to the approval of the Council, be renewed for additional periods, each not exceeding one year, upon payment of the fees prescribed in the by-laws.

**18**(1) A registered architect engaged in the practice of architecture shall pay to the Association the annual fee prescribed in the by-laws.

(2) The Registrar shall issue an annual certificate in accordance with the by-laws to a registered architect

(a) whose registration is not under suspension, and

(b) who has paid the annual fee.

(3) An annual certificate entitles the registered architect to engage in the practice of architecture during the year for which the annual certificate is issued.

(4) An annual certificate expires on December 31 of the year for which it is issued.

Term of licence and permit.

Annual certificates.

**19**(1) The registration of a registered architect or other member, visiting project architect or architects corporation is suspended when the decision to suspend the registration is made in accordance with this Act.

(2) The Registrar shall enter a memorandum of suspension of a registration in the appropriate register or membership record indicating

- (a) the duration of the suspension, and
- (b) the reason for the suspension.

(3) The registration of a registered architect, visiting project architect or architects corporation and the membership record entry for a member other than a registered architect is cancelled when the decision to cancel the registration or membership record entry is made in accordance with this Act.

(4) The Registrar shall enter a memorandum of cancellation of registration in the appropriate register or membership record.

(5) If the Registrar is satisfied that a registered architect, other member or visiting project architect has died, he shall enter a memorandum of that fact in the register or membership record, as the case may be.

(6) The Registrar shall in accordance with the by-laws remove from the registers and from the membership records any memorandum made by him under subsections (2), (4) and (5).

(7) The Registrar shall, during regular office hours, permit any person to inspect the registers and membership records of the Association.

20(1) The Registrar shall not cancel the registration of a registered architect, visiting project architect or architects corporation at the request of a registered architect, visiting project architect or architects corporation unless the request for the cancellation has been approved by the Council.

(2) When a request for cancellation of a registration is approved by the Council

(a) the Registrar shall cancel that registration, and

(b) the registered architect, visiting project architect or architects corporation requesting the cancellation shall, upon being notified of the approval, surrender to the Registrar the seal or stamp issued by the Registrar.

**21**(1) An architects corporation may engage in the practice of architecture in its corporate name only.

Entries in registers.

Voluntary cancellation of registration.

Conditions respecting architects corporations.

(2) An architects corporation shall advise the Registrar in writing of the names of the shareholders, directors and officers of the corporation and of any change in the shareholders, directors or officers or a change in their names forthwith after the change occurs.

(3) An architects corporation shall cause all plans, drawings, detail drawings and specifications

(a) to be signed by and imprinted with the stamp or seal of the registered architect who had direct personal supervision, direction and control over their preparation, and

(b) to be imprinted with the stamp issued to the corporation and be signed by its proper officers.

**22**(1) An applicant for registration to engage in the practice of architecture as a registered architect, visiting project architect or architects corporation, or for the renewal of a licence or permit, may, within 30 days of the date of service of the decision of the Council informing him that his or its application or request has been refused, appeal to the Court by way of originating notice.

(2) The originating notice shall

(a) name the Association as the respondent,

(b) state the reasons for the appeal, and

(c) be served on the Registrar within 30 days of the date of service of the decision of the Council.

**23**(1) The Court upon hearing the appeal may

(a) make any findings that in its opinion ought to have been made,

(b) reverse, confirm or vary any decision or order made by the Council, or

(c) refer the matter back to the Council for further consideration by it.

(2) The Court may make any award respecting the costs of an appeal that it considers appropriate.

**24**(1) The Council may direct the Registrar to cancel the registration of

(a) a registered architect, other member or visiting project architect who is, or an architects corporation that is, in

22 Appeals to the Court of Queen's Bench from refusal of membership.

Powers of Court on appeal from refusal of membership.

Cancellation for non-payment of fees.

default of payment of annual fees or any other fees, dues or levies payable by him or it under this Act,

(b) a registered architect who has ceased to be ordinarily resident in Alberta, or

(c) an architects corporation if that corporation no longer has shareholders, directors or officers in compliance with section 15(2)(c)

after the expiration of one month following the service on him or it of a written notice by the Council pursuant to subsection (2), unless the person on whom the notice is served complies with the notice.

(2) The notice under subsection (1) shall state that the Registrar may cancel the registration unless, as the case may be,

(a) the fees, dues or levies are paid as indicated in that notice, or

(b) evidence satisfactory to the Council has been received by it within the time prescribed in the notice indicating that

(i) the registered architect continues to be ordinarily resident in Alberta, or

(ii) the architects corporation has shareholders, directors or officers in compliance with section 15(2)(c).

(3) The Council may direct the Registrar to cancel the registration of a registered architect, visiting project architect or architects corporation that was entered in error in the register.

(4) If a registration has been cancelled pursuant to subsection (1) the Council may, by resolution, direct the Registrar, subject to any conditions respecting the payment of arrears and any reinstatement fee that the Council may prescribe,

(a) to reinstate that registration in the applicable register and re-issue to the former holder the stamp or seal formerly issued to him or it under section 16,

(b) in the case of a registered architect, to re-issue a certificate of registration and annual certificate to its former holder, and

(c) in the case of a visiting project architect or architects corporation, to re-issue a licence or permit to its former holder.

(5) When the Registrar has cancelled a registration pursuant to subsection (1) or (2), he shall not re-enter that registration in the register or re-issue an annual certificate to the former holder, or in the case of a visiting project architect or architects
corporation, he shall not re-issue a licence or permit to its former holder, except under the authority of a resolution of the Council or by an order of the Court.

(6) If the registration of a registered architect, visiting project architect or architects corporation has been cancelled under this section, the certificate of registration and annual certificate, licence or permit of that person is deemed to be cancelled and that person shall surrender to the Registrar the stamp or seal issued to him or it under section 16.

## PART 5

## DISCIPLINE

**25**(1) In this Part, "investigated person" means a person with respect to whose conduct an investigation is being or may be held under this Part.

(2) A person may complain to the Registrar about the conduct of a registered architect, visiting project architect or architects corporation and the complaint shall be dealt with in accordance with this Part.

(3) A complaint respecting the conduct of a registered architect, visiting project architect or architects corporation whose registration was cancelled pursuant to this Act may, notwith-standing the cancellation, be dealt with within one year following the date of cancellation of the registration as if the cancellation had not occurred.

(4) A person designated by the Council as a mediator may assist in settling a complaint made to the Registrar if the complainant and the person about whose conduct the complaint was made so agree, but if, within 30 days from the date of receipt of the complaint or a longer period agreed to by those persons, a settlement of the complaint between those persons does not occur or in the mediator's opinion is not likely to occur, the complaint shall, if it is in writing, be referred forthwith by the mediator to the chairman or a vice-chairman of the Discipline Committee.

**26**(1) The question of whether a person's conduct constitutes

- (a) conduct unbecoming an architect, or
- (b) unskilled practice of architecture

shall be determined by the Discipline Committee or, on appeal, by the Council or the Court.

(2) Any conduct that in the opinion of the Discipline Committee or, on appeal, the Council or the Court, as the case may be,

(a) is such as

(i) to be detrimental to the best interests of the public, or

(ii) to tend to harm the standing of the profession of architecture generally,

Complaints.

**26** Determination of unbecoming conduct and unskilled practice.

whether or not that conduct is disgraceful or dishonourable, constitutes conduct unbecoming an architect, or

(b) is such as to display a lack of knowledge of or skill or judgment in the practice of architecture, constitutes unskilled practice of architecture.

**27** The Council shall by resolution appoint from among registered architects a Discipline Committee and shall designate a chairman and one or more vice-chairmen from among the individuals so appointed.

**28**(1) The chairman or a vice-chairman of the Discipline Committee shall, if a complaint has been made, review the conduct of a registered architect, visiting project architect or architects corporation within 30 days from the date on which the complaint is brought to his attention by the mediator under section 25(4), the complainant or any other person.

(2) The Council may upon the application of the Registrar, extend the 30-day period mentioned in subsection (1).

(3) The chairman or a vice-chairman of the Discipline Committee may, if no complaint has been made, review the conduct of a registered architect, visiting project architect or architects corporation.

(4) The chairman or vice-chairman of the Discipline Committee shall forthwith upon the conclusion of a review

(a) direct that no further action be taken if he is of the opinion that

(i) the complaint resulting in the review is frivolous or vexatious, or

(ii) the conduct so reviewed does not constitute conduct unbecoming an architect or unskilled practice of architecture,

(b) conduct a preliminary investigation or appoint a person to conduct a preliminary investigation regarding the matter and direct, following the preliminary investigation, that the matter be dealt with by the Discipline Committee, or

(c) direct that the matter be dealt with by the Discipline Committee without a preliminary investigation.

**29**(1) A person conducting a preliminary investigation may

(a) require any person to produce to him any plans, drawings, detailed drawings, specifications, books, papers or Discipline Committee.

Function of chairman of Discipline Committee.

Evidence for preliminary investigation.

other documents in the person's possession or under his control,

(b) copy and keep copies for the purposes of this Part of any one or more of the documents that are produced to him under clause (a), and

(c) require the attendance at the investigation of a person referred to in clause (a).

(2) The person conducting a preliminary investigation may on behalf of the Association apply ex parte to the Court for an order

(a) directing the person concerned to produce, for the purposes of this Part, to the person conducting a preliminary investigation any plans, drawings, detailed drawings, specifications, books, papers or other documents in his possession or under his control, if it is shown that the person failed to produce them when required by the person conducting the preliminary investigation, or

(b) directing a person who has in his possession any plans, drawings, detail drawings, specifications, books, papers or other documents that are or may be related to the conduct being investigated to produce them for the purposes of this Part, to a person conducting a preliminary investigation.

(3) A person conducting a preliminary investigation into the conduct of a registered architect, visiting project architect or architects corporation may investigate any other matter regarding the conduct of the investigated person that arises in the course of the investigation.

**30** If a person other than the chairman or a vice-chairman of the Discipline Committee conducts a preliminary investigation, that person shall forthwith, upon concluding the preliminary investigation, report his findings, in writing, to the chairman of the Discipline Committee or to the vice-chairman who appointed him to conduct the preliminary investigation together with all evidence presented to him or obtained by him in conducting the preliminary investigation.

**31**(1) If the chairman or vice-chairman of the Discipline Committee directs

(a) in accordance with section 28(4)(a), that no further action be taken, or

(b) in accordance with section 28(4)(c), or upon receipt of a report under section 30, that the conduct of a person shall be dealt with by the Discipline Committee under this Part,

**30** Procedure after preliminary investigation.

Notice of an appeal from decision of chairman or vice-chairman of Discipline Committee.

he shall direct the Registrar to serve on that person, in accordance with the by-laws, a notice that no further action will be taken or that the conduct is to be investigated by the Discipline Committee, as the case may be.

(2) A complainant who is served with a notice under subsection (1) informing him that the chairman or vice-chairman of the Discipline Committee has directed that no further action be taken may appeal that direction to the Discipline Committee within 30 days of the date of service of the notice, and the Discipline Committee shall consider the appeal as though the complaint had been referred to it by the chairman under section 28(4)(c).

(3) The Council upon the application of the complainant may extend the 30-day period mentioned in subsection (2) for one or more additional periods, each not exceeding 30 days.

**32** Proceedings before a person conducting a preliminary investigation, the Discipline Committee or the Council shall be held in camera.

**33** Notwithstanding anything in this Act, the chairman or a vice-chairman of the Discipline Committee may suspend the registration of a registered architect, visiting project architect or architects corporation pending a preliminary investigation of his or its conduct and pending the making of the Discipline Committee's finding as to that conduct.

**34** The Association, the investigated person and the complainant, if any, may appear and be represented by counsel before a person conducting a preliminary investigation and at proceedings held by the Discipline Committee or the Council.

35(1) The Discipline Committee shall serve on the investigated person, the complainant, if any, and on any witness in the investigation a notice of investigation stating the date, time and place when the Discipline Committee will conduct the investigation, and giving reasonable particulars of the conduct to be investigated.

(2) The Discipline Committee shall investigate the facts relevant to the conduct of the investigated person within 30 days from the date on which it is directed to do so.

(3) The Council upon the application of the chairman or vicechairman of the Discipline Committee may extend the 30-day period mentioned in subsection (2) for one or more additional periods, each not exceeding 30 days. Proceedings in camera.

- Suspension pending investigation.
- Right to counsel and to appearance.
- Investigation of conduct.

(4) The Discipline Committee may also investigate any other matter concerning the conduct of the investigated person that arises in the course of the investigation, but in that event the committee shall declare its intention to investigate the further matter and shall permit that person sufficient opportunity to prepare his answer to the further matter.

(5) Sections 36 to 42 apply to an investigation of a further matter under subsection (4).

36(1) Evidence may be given before a person conducting a preliminary inquiry and the Discipline Committee in any manner that the person or the Committee considers appropriate, and that person and the Committee are not bound by the rules of law respecting evidence applicable to judicial proceedings.

(2) A member of the Discipline Committee may administer an oath to a witness who is to give evidence before the Discipline Committee.

**37**(1) The investigated person and any other person who in the opinion of the Discipline Committee or Council has knowledge of any conduct being investigated is a compellable witness in any proceedings under this Part.

(2) A witness may be examined on oath on all matters relevant to the investigation and shall not be excused from answering any question on the ground that the answer might

- (a) tend to incriminate him,
- (b) subject him to punishment under this Part, or
- (c) tend to establish his liability

(i) to a civil proceeding at the instance of the Crown or of any other person, or

(ii) to prosecution under any Act or regulations under any Act,

but if the answer so given tends to incriminate him, subject him to punishment or tends to establish his liability, it shall not be used or received against him in any civil proceedings or in any proceedings under any other Act.

(3) For the purpose of obtaining the testimony of a witness who is out of Alberta, a judge of the Court upon an application ex parte by the Association may direct the issuing of a commission for the obtaining of the evidence of the witness, and the commission shall be issued and the evidence taken pursuant to the Alberta Rules of Court. 36 Evidence.

Witnesses and documents.

38(1) The attendance of witnesses before the Discipline Committee and the production of plans, drawings, detail drawings, specifications, books, papers and other documents may be enforced by a notice issued by the Registrar requiring the witness to attend and stating the date, time and place at which the witness is to attend and the plans, drawings, detail drawings, specifications, books, papers and other documents, if any, he is required to produce.

(2) Upon the written request of the investigated person or of his counsel or agent or the complainant, if any, or of his counsel or agent, the Registrar shall without charge issue and deliver to that person or his counsel or agent any notices that he may require for the attendance of witnesses or the production of documents.

(3) A witness, other than the investigated person, who has been served with a notice to attend or a notice for the production of documents under subsection (1) or (2) is entitled to be paid the same fees as are payable to witnesses in an action in the Court.

**39**(1) Proceeding for civil contempt of court may be brought against a witness

(a) who fails

(i) to attend before the Discipline Committee in compliance with a notice to attend,

(ii) to produce any books, papers or other documents in compliance with a notice to produce them, or

(iii) in any way to comply with either notice,

or

(b) who refuses to be sworn or to answer any question directed to be answered by the chairman or a vice-chairman of the Discipline Committee.

(2) If the witness referred to in subsection (1) is the investigated person, his failure or refusal may be held to be conduct unbecoming an architect.

(3) The committee, upon proof of service of the notice of investigation upon the investigated person and the complainant, if any, may

(a) proceed with the investigation in the absence of either or both of those persons, and

Enforcement of attendance of witnesses and production of documents.

Failure to attend or give evidence.

(b) act and report upon the matter being investigated in the same way as though either or both of those persons were in attendance.

**40**(1) If the Discipline Committee considers that the conduct of the investigated person is conduct unbecoming an architect or unskilled practice of architecture or both the Discipline Committee may

(a) order that the registration of that person be cancelled,

(b) order that the registration of that person be suspended for a stated period of time, or

(c) subject to subsection (3), reprimand that person.

(2) In addition to an order of cancellation, suspension or a reprimand, the Discipline Committee may

(a) order the investigated person to pay as a penalty for each finding of conduct unbecoming an architect or unskilled practice of architecture or both, a fine of not more than \$1000 to the Association, within the time fixed by the order,

(b) order the payment of the costs of the investigation by the investigated person or the complainant, if any, in an amount and within the time fixed by the order, and

(c) order that the investigated person be suspended in default of paying any fine or costs or both, ordered to be paid until such time as the fine and costs are paid.

(3) If the Discipline Committee considers that the conduct of the investigated person is conduct unbecoming an architect or unskilled practice of architecture or both but the Discipline Committee considers on an unanimous vote that a reprimand is sufficient punishment with or without the imposition of a fine or costs under subsection (2), it may find that his conduct is conduct unbecoming an architect or unskilled practice of architecture, as the case may be, or both, and reprimand him.

(4) The Discipline Committee shall give a report containing its finding under subsection (1), any order made under subsection (2), a reprimand under subsection (3), as the case may be, and the reasons for the finding, order or reprimand to the Council, the Registrar, the investigated person and the complainant, if any.

**41**(1) An investigated person whose conduct is found by the Discipline Committee to be conduct unbecoming an architect or unskilled practice of architecture or both, may, in accordance with the regulations, appeal to the Council the Discipline

Powers of Discipline Committee.

41 Right of Appeal to Council.

Committee's finding under section 40(1), an order under section 40(2)(a) or (b) or both, and a reprimand under section 40(3) by serving on the Registrar, the Council and the chairman or vice-chairman of the Discipline Committee a notice of appeal within 30 days of the date of service on him of the Council's finding, order or reprimand.

(2) A complainant who receives a report from the Discipline Committee under section 40(4) may, in accordance with the regulations, appeal to the Council the Discipline Committee's finding under section 40(1), order under section 40(2) or reprimand under section 40(3) by serving on the Registrar, the Council and the chairman or vice-chairman of the Discipline Committee a notice of appeal within 30 days of the date of service of the report.

**42**(1) If an appeal is made under section 41, the Registrar shall obtain a copy of the evidence given before the Discipline Committee and the copies of the exhibits before the Discipline Committee, and the evidence and the exhibits so obtained constitutes the record of the proceedings before the Discipline Committee.

(2) If an appeal is made under section 41, the Registrar shall, on receiving a copy of the report of the Discipline Committee and the record of the proceedings,

(a) serve on the investigated person and the complainant or their counsel or agent a notice informing them

(i) of the time and place at which the appeal will be considered by the Council pursuant to section 44(1), and

(ii) that they may appear before the Council at the appeal in person or by counsel or agent,

and

(b) furnish each Council member with a copy of the report and the record.

**43**(1) Notwithstanding an appeal under section 41, the Discipline Committee may, upon the conclusion of an investigation, suspend the registration of the investigated person until the time that the Council makes its decision on the appeal.

(2) A person whose registration has been suspended under subsection (1) may by filing an originating notice with the Court apply for an order removing the suspension pending the determination of the appeal made under section 41. **42** Procedure leading to appeal before Council.

Interim suspension and appeal.

(3) The Court may hear an application made under this section not less than 2 days after the originating notice has been served upon the chairman or a vice-chairman of the Discipline Committee.

(4) Upon hearing an application made under this section, the Court may, subject to any conditions that it considers proper remove the suspension pending the determination of the appeal made under section 41.

**44**(1) The Council shall at its first meeting following the expiration of not less than 15 days from the date of service of the notice of appeal consider the decision of the Discipline Committee and shall hear any representations that the investigated person and the complainant or their counsel or agent wish to make respecting the report and record.

(2) A Council member who was a member of the Discipline Committee that considered a matter appealed from shall not participate in or vote at an appeal before the Council.

(3) The Association's solicitor may participate in an appeal before the Council.

(4) The Council may

(a) grant adjournments of the proceedings or reserve the determination of the matters before them for a future meeting of the Council,

(b) upon granting special leave for that purpose, receive further evidence in the same manner and subject to the same rules and procedures and with the same powers as are provided in this Part with respect to hearings before the Discipline Committee,

(c) draw inferences of fact and make any determination or finding that, in their opinion, ought to have been made by the Discipline Committee, or

(d) order that the matter be referred back to the Discipline Committee.

(5) The Council shall, within 30 days from the date of the conclusion of all proceedings before them,

(a) make any finding that in its opinion ought to have been made,

(b) quash or confirm the finding or order of the Discipline Committee,

44 Appeal before Council.

(c) confirm or vary the order of cancellation or suspension or the reprimand imposed by the Discipline Committee or order a different penalty,

(d) confirm or vary any order of the Discipline Committee as to costs or make a new order as to costs, or

(e) refer the matter back to the Discipline Committee for further consideration.

(6) The Council may make any award as to the costs of an appeal that it considers appropriate.

**45** The Court may extend any of the periods of time mentioned in sections 41(1) and (2) and 44(1) and (5).

### Appeal to Court of Queen's Bench

46(1) An investigated person or complainant may within 30 days from the date of service of any finding or order made by the Council under this Part, appeal to the Court by way of orginating notice.

- (2) The orginating notice shall
  - (a) name the Association as respondent,
  - (b) state the reasons for the appeal, and

(c) be served on the Registrar and the complainant, if any, within 30 days from the date on which the finding and the order, if any, is made by the Council.

(3) The Court may extend the time for filing or service under subsection (2).

(4) The appellant may, after commencing the appeal and upon notice to the Registrar and the complainant, apply to the Court for an order staying the imposition of any order made or penalty imposed by the Council.

**47**(1) The appeal shall be supported by copies, certified by the Registrar, of the report of the Discipline Committee, the record, the finding and order of the Council and the evidence received by the Council, if any.

(2) The Registrar on being paid any disbursements and expenses in connection with a request made by the appellant, his solicitor or agent, shall furnish to the appellant, his solicitor or

Extension of time by Court.

Appeal to Court of Queen's Bench.

Material in appeal.

agent the number of copies so requested of the documents mentioned in subsection (1).

**48**(1) The Court upon hearing the appeal may

(a) make any finding that in its opinion ought to have been made,

(b) quash or confirm the finding or order of the Council,

(c) confirm or vary the order made or penalty imposed by the Council, make a different order or impose a different penalty,

(d) confirm or vary any order of the Council as to costs or make a new order as to costs, or

(e) refer the matter back to the Council for further consideration.

(2) The Court may make any award as to the costs of an appeal that it considers appropriate.

### General

**49**(1) If the Council after a hearing on the matter is satisfied that a person whose registration is entered in the register obtained registration by means of any false or fraudulent representation or declaration either verbal or written, the Council shall order that his registration be cancelled.

(2) The provisions of the Part respecting procedures of the Discipline Committee apply with all necessary modifications to a hearing held by the Council under subsection (1).

**50**(1) If the registration of a registered architect, visiting project architect or architects corporation has been cancelled or suspended under this Part, the certificate of registration and annual certificate or licence or permit of that person is deemed to be cancelled or suspended and that person shall surrender to the Registrar the seal or stamp issued by the Registrar under section 16.

(2) If the registration of a registered architect, visiting project architect or architects corporation has been cancelled under this Part, the registration shall not be reinstated in the register except by order of the Council or the Court.

(3) No order shall be made under subsection (2) within one year after

Court's powers on appeal.

Cancellation of falsely obtained registration.

50 Surrender of certificates, licence or permit and reinstatement.

(a) the date on which the registration was cancelled, or

(b) if an order was granted under section 46(4) staying the imposition of a punishment imposed by the Council and the punishment is later confirmed by the Court under section 48, the date on which the Court made its order confirming the punishment.

(4) A member of the Council who is a member of a committee of inquiry appointed pursuant to the regulations to consider an application under this Part for reinstatement of registration may participate in or vote at any proceedings of the Council under this section, and the Registrar and the Association's solicitor may participate in those proceedings.

**51** The conduct of a person who is or was registered as a registered architect, visiting project architect or architects corporation who represents or holds out that he is registered and in good standing while his registration is suspended or cancelled may be dealt with as being conduct unbecoming an architect in accordance with this Part.

Conduct while registration is cancelled or suspended.

# PART 6

## GENERAL

52(1) The relationship between an architects corporation engaged in the practice of architecture and a person receiving the professional services of the architects corporation is subject to this Act, the regulations and any other law applicable to the relationship between a registered architect and his client.

(2) The relationship of a registered architect or visiting project architect to an architects corporation, whether as shareholder, director, officer or employee of the architects corporation, does not affect, modify or diminish the application of this Act, the regulations and by-laws

(a) to him personally as a registered architect or visiting project architect, or

(b) to the relationship between the registered architect and his client.

**53**(1) A certificate purporting to be signed by the Registrar and stating that a named corporation was or was not, on a specified day or during a specified period, an architects corporation shall be admitted in evidence as prima facie proof of the facts stated in it without proof of the Registrar's designation or signature.

(2) A certificate purporting to be signed by the Registrar and stating that a named person was or was not, on a specified day or during a specified period,

(a) a registered architect or visiting project architect, or

(b) an officer of the Association or a member of the Council

shall be admitted in evidence as prima facie proof of the facts stated in it without proof of the Registrar's designation or signature.

**54**(1) No action lies against any person conducting a preliminary investigation, any member of the Discipline Committee appointed under Part 5, a member of the Council, the Registrar, the Association or any member, officer or employee of the Association, for anything done by him in good faith and in purporting to act under this Act, the regulations or the by-laws.

(2) No action for defamation may be founded on a communication that consists of or pertains to a complaint regarding the conduct of a registered architect, visiting project architect or Liability of registered and architects corporations in dealing with other persons.

Certificate respecting registration.

Protection from liability.

architects corporation, if the communication is published to or by the Association, a member of the Council or any officer or employee of the Association in good faith in the course of investigating the complaint or in the course of any proceedings under Part 5 relating to the complaint.

# PART 7

### **PROHIBITIONS AND PENALTIES**

**55**(1) A person whose registration as a registered architect, visiting project architect or architects corporation is cancelled or suspended under this Act shall not, without the permission, by resolution, of the Council, engage in the practice of architecture under this Act or directly or indirectly associate himself or itself in the practice of architecture with any other registered architect, visiting project architect or architects corporation.

(2) No registered architect, visiting project architect or architects corporation shall, except under the authority of a resolution of the Council, associate in the practice of architecture directly or indirectly with or employ in connection with his practice a person whose registration has been cancelled or suspended under this Act.

(3) The Council may by resolution permit a registered architect, visiting project architect or architects corporation to employ in connection with his or its practice a person whose registration has been cancelled or suspended under this Act, but the employment shall be in the capacity and subject to the terms and conditions that are prescribed in the resolution.

**56**(1) Every person and every officer, employee or agent of a corporation who contravenes Part 1, sections 20(2)(b) and 24(6) or this Part is guilty of an offence and liable on summary conviction

- (a) for the first offence, to a fine of not more than \$1000,
- (b) for the 2nd offence, to a fine of not more than \$2000, and

(c) for the 3rd and every subsequent offence to a fine of not more than \$3000 or to imprisonment for a term of not more than 6 months or both fine and imprisonment.

(2) A prosecution under this section may be commenced within 2 years after the commission of the alleged offence, but not afterwards.

**57** In a prosecution under this Act, the burden of proving that a person is a registered architect, visiting project architect or architects corporation is on the accused.

Practice prohibitions.

56 Penalties.

Onus of proof of registration.

# PART 8

### TRANSITIONAL AND CONSEQUENTIAL

**58**(1) In this section and in sections 59 to 61, "former Act" means *The Architects Act*, being chapter 22 of the Revised Statutes of Alberta 1970.

(2) An individual who is a registered architect under the former Act is deemed to be a registered architect and the holder of an annual certificate under this Act.

(3) A corporation that holds a permit under section 3 of the former Act is deemed to be an architects corporation and the holder of a permit under this Act.

(4) An individual who holds a temporary licence to practice as an architect under section 10 of the former Act is deemed to be a visiting project architect and the holder of a licence under this Act.

(5) The Registrar shall, in the appropriate register established pursuant to this Act,

(a) register the names of those individuals referred to in subsection (2) and the names of those corporations referred to in subsection (3), and

(b) unless the Council otherwise directs in a particular case, register the names of those individuals referred to in subsection (4).

**59** The members of the council of the Association elected under the former Act are deemed to be members of the Council under this Act, elected for the same period and holding the same office.

**60** An application for registration made but not concluded before the coming into force of this Act shall be dealt with under this Act.

**61** Any complaints or discipline proceedings that were commenced but not concluded before the coming into force of this Act shall be concluded under the former Act as though this Act had not come into force.

**62** The Municipal Government Act is amended by adding the following after section 214:

Registered architects and holders of permits and temporary licences continued.

Council members continued.

Applications for registration continued.

Discipline proceedings continued.

62 Amends chapter 246 of the Revised Statutes of Alberta 1970.

**214.1** Section 214 does not empower a council to make a by-law that has the effect of requiring an architects corporation under *The Architects Act* to obtain a licence to engage in the practice of architecture or to carry on the practice or profession of an architect in the municipality.

### **63** The Alberta Uniform Building Standards Act is amended

### (a) by adding the following after section 4:

**4.1**(1) Notwithstanding anything in any other Act, in a regulation under this or any other Act or in a by-law, no permit shall be issued by a local authority except in accordance with sections 4.2, 4.3 or 4.4.

(2) Notwithstanding anything in any other Act, in a regulation under this or any other Act or in a by-law, no person shall submit in support of an application or as an application for a permit under this Act any plans, drawings or specifications for the construction, erection or enlargement of any building that is a category or type of building described in section 4.2, 4.3 or 4.4 unless the plans, drawings or specifications comply with those sections.

**4.2**(1) In this section and in section 4.3 "gross area" means the area of a building that is contained within the outside surface of the exterior walls of the building.

(2) A local authority may issue a permit to an applicant if the application conforms to this Act, the regulations and applicable by-law and the application is for a building described in subsection (2).

(3) The buildings referred to in subsection (1) are:

(a) a building, 3 storeys or less in height, for assembly occupancy that,

(i) in the case of a single storey building, has a gross area of 300 square metres or less,

(ii) in the case of a 2 storey building, has a gross area of 150 square metres or less on each floor, or

(iii) in the case of a 3 storey building, has a gross area of 100 square metres or less on each floor;

(b) a building for institutional occupancy that contains 12 rooms or less for patients; Amends chapter 85 of the Statutes of Alberta, 1973.

(c) a building for residential occupancy that

(i) is a single family dwelling, or

(ii) is a multiple family dwelling, containing 4 dwelling units or less;

(d) a building that will be used as a hotel or motel or for similar occupancy containing 10 dwelling units or less;

(e) a building, 3 storeys or less in height, for business and personal services occupancy, for mercantile occupancy or for industrial occupancy that

(i) in the case of a single storey building has a gross area of 500 square metres or less,

(ii) in the case of a 2 storey building has a gross area of 250 square metres or less on each floor, or

(iii) in the case of a 3 storey building has a gross area of 165 square metres or less on each floor;

(f) a building that is a farm building not for public use.

**4.3**(1) No local authority shall issue a permit with respect to an application for a building described in subsection (2) unless

(a) the application for the permit is accompanied by plans, drawings or specifications that are

(i) imprinted with a stamp or seal affixed by or on behalf of a registered architect or a visiting project architect under *The Architects Act*, or

(ii) imprinted with a seal affixed by or on behalf of a professional engineer who is registered under *The Engineering and Related Professions Act,* 

and

(b) the application complies with this Act and the regulations and the by-laws of the local authority.

(2) The buildings referred to in subsection (1) are:

(a) a building, 3 storeys or less in height, for residential occupancy that is a multiple family dwelling containing 20 dwelling units or less;

(b) a building for industrial occupancy if the occupant load is designated to be at a ratio of 28 square metres per person or more;

(c) a building that is classified for more than one type of occupancy if

(i) the major use of the building is industrial occupancy and the occupant load is designated to be at a ratio of 28 square metres per person or more, and

(ii) any type of occupancy, other than the major use, does not extend over an area exceeding 400 square metres.

**4.4** No local authority shall issue a permit for a building that is not referred to in section 4.2 or 4.3 unless the application is accompanied by architectural and engineering drawings, plans or specifications that are

(a) in the case of architectural drawings, plans or specifications, imprinted with a stamp or seal affixed by or on behalf of a registered architect or visiting project architect under *The Architects Act*, 1979,

(b) in the case of engineering drawings, plans or specifications, imprinted with a seal affixed by or on behalf of a professional engineer registered under *The Engineering and Related Professions Act*, and

(c) in the case of combined architectural and engineering drawings, imprinted with a stamp or seal affixed by

(i) a registered architect or a visiting project architect under *The Architects Act*, 1979, and

(ii) a professional engineer registered under *The Engineering and Related Professions Act.* 

**4.5** The classification of buildings

(a) according to their use, as referred to in section 4.2, and

(b) according to their occupancy, as referred to in section 4.3,

shall be determined in accordance with the regulations.

(b) *in section 12(d) by adding* ", except sections 4.1, 4.2, 4.3 and 4.4," *after* "contravenes any provision of this Act".

**64** *The Architects Act is repealed.* 

**65** This Act comes into force on a date or dates to be fixed by Proclamation.

64 Repeals chapter 22 of the Revised Statutes of Alberta 1970.